

# “Neutral” Models of Constructive Mathematics

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Often in semantics one builds a new model  $\mathcal{E}$  over a *ground model*  $\mathcal{S}$  as e.g. in topological semantics, realizability, topos theory... and there is a so-called *constant objects* (CO) functor

$$F : \mathcal{S} \rightarrow \mathcal{E}$$

describing how the ground model  $\mathcal{S}$  sits within the new model  $\mathcal{E}$ . Typically this  $F$  faithfully represents the construction of  $\mathcal{E}$  from  $\mathcal{S}$ .

Iteration of constructions as composition of CO functors.

Via “Artin Glueing” we obtain a new model  $\text{Gl}(F) = \mathcal{E} \downarrow F$  together with a logical functor

$$P_F = \partial_1 = \text{cod} : \mathcal{E} \downarrow F \rightarrow \mathcal{S}$$

which, therefore, is consistent with  $\mathcal{S}$  which often is **Set**!

# Heyting (Boolean) Valued Sets

Let  $A$  be a complete Heyting (or boolean) algebra in a base topos  $\mathcal{S}$  then the topos  $Sh_{\mathcal{S}}(A)$  of sheaves over  $A$  contains the base  $\mathcal{S}$  via  $F : \mathcal{S} \rightarrow \mathcal{E}$  sending  $I$  to the “constant sheaf” with value  $I$ . Thinking of “ $\mathcal{E}$  as  $A$ -valued sets” we have  $F(I) = (I, eq_I)$  where  $eq_I(i, j) = \bigvee \{1_A \mid i = j\}$ .

The CO functor  $F$  preserves finite limits, has a right adjoint  $U$  and every  $X \in \mathcal{E}$  appears as subquotient of some  $FI$ .

Such adjunctions  $F \dashv U : \mathcal{E} \rightarrow \mathbf{Set}$  are called “localic geometric morphisms” since the latter condition says that subobjects of  $1_{\mathcal{E}}$  generate. Under these assumptions  $\mathcal{E}$  is equivalent to  $Sh_{\mathcal{S}}(U\Omega_{\mathcal{E}})$

Since maps  $I \rightarrow U\Omega_{\mathcal{E}}$  correspond to maps  $FI \rightarrow \Omega_{\mathcal{E}}$ , i.e. subobjects of  $FI$ , the *externalization* of  $U\Omega_{\mathcal{E}}$  is given by  $F^*\text{Sub}_{\mathcal{E}}$  (where  $\text{Sub}_{\mathcal{E}}$  is the subobject fibration of  $\mathcal{E}$ ).

# The Moens-Jibladze Correspondence (1)

If  $F : \mathcal{S} \rightarrow \mathcal{E}$  is a finite limit preserving functor between toposes we may consider the (Grothendieck) fibration  $P_F$  as in

$$\begin{array}{ccc} \mathcal{E} \downarrow F & \longrightarrow & \mathcal{E} \downarrow \mathcal{E} \\ P_F \downarrow & \lrcorner & \downarrow P_{\mathcal{E}} \\ \mathcal{S} & \xrightarrow{F} & \mathcal{E} \end{array}$$

where  $P_{\mathcal{E}}$  (and thus also  $P_F$ ) is the codomain functor. All fibers of  $P_F$  are toposes and all reindexing functors are logical (i.e. preserve finite limits, exponentials and subobject classifiers) and  $P_F$  has internal sums (i.e.  $P_F$  is a cofibration where cocartesian arrows are stable under pullbacks along cartesian arrows in  $\mathcal{E}$ ).

## The Moens-Jibladze Correspondence (2)

Such fibrations  $P : \mathcal{X} \rightarrow \mathcal{S}$  are called *fibered toposes with internal sums*.

M. Jibladze has shown that internal sums are necessarily *stable and disjoint* from which it follows by Moens's Theorem that  $P : \mathcal{X} \rightarrow \mathcal{S}$  is equivalent to  $P_F$  where  $F : \mathcal{S} \rightarrow \mathcal{E} = P(1)$  sends  $u : J \rightarrow I$  to the unique vertical arrow  $Fu$  rendering the diagram

$$\begin{array}{ccc} 1_J & \xrightarrow[\text{cocart.}]{\varphi_J} & FJ \\ 1_u \downarrow & & \downarrow Fu \\ 1_I & \xrightarrow[\varphi_I]{\text{cocart.}} & FI \end{array}$$

commutative. Up to equivalence this  $F$  is determined by  $P$ , informally speaking it sends  $I \in \mathcal{S}$  to  $\coprod_I 1_I$ .

# Properties of $P_F$ in terms of properties of $F$

Further fibrational properties of  $P_F$  can be reformulated as elementary properties of  $F$  as follows

- 1  $P_F$  is locally small iff  $F$  has a right adjoint  $U$
- 2  $P_F$  has a small generating family iff there is a *bound*  $B \in \mathcal{E}$  such that every  $X \in \mathcal{E}$  appears as subquotient of some  $B \times FI$ .

In particular,  $P_F$  is a localic topos fibered over  $\mathcal{S}$  iff  $P_F$  is locally small and  $F \dashv U$  is bounded by  $1_{\mathcal{E}}$ .

# Triposes as Generalized Localic Toposes (1)

A **tripos** over a base topos  $\mathcal{S}$  is a functor  $F$  from  $\mathcal{S}$  to a topos  $\mathcal{E}$  such that

- 1  $F$  preserves finite limits and
- 2 every  $A \in \mathcal{E}$  appears as subquotient of  $FI$  for some  $I \in \mathcal{S}$ .

A tripos  $F : \mathcal{S} \rightarrow \mathcal{E}$  is **strong** iff  $F$  preserves also epis (which trivially holds if  $\mathcal{S}$  is **Set** since there all epis are split!).

A tripos  $F : \mathcal{S} \rightarrow \mathcal{E}$  is **traditional** iff there is a subobject  $\tau : T \rightarrow \Sigma$  such that every mono  $m : P \rightarrow FI$  fits into a pullback

$$\begin{array}{ccc} P & \longrightarrow & T \\ \downarrow m & \lrcorner & \downarrow \tau \\ FI & \xrightarrow{Fp} & F\Sigma \end{array}$$

for some (typically not unique)  $p : I \rightarrow \Sigma$ .

## Tripases as Generalized Localic Toposes (2)

With every traditional tripos  $F : \mathcal{S} \rightarrow \mathcal{E}$  one can associate the fibered poset  $\mathcal{P}_F = F^* \text{Sub}_{\mathcal{E}}$  validating the conditions

- 1  $\mathcal{P}_F$  is a fibration of pre-Heyting-algebras
- 2 for every  $u$  in the base the reindexing map  $u^* = \mathcal{P}_F(u)$  has both adjoints  $\exists_u \dashv u^* \dashv \forall_u$  (as a map of preorders) validating the (Beck-)Chevalley condition<sup>1</sup>
- 3 there is a generic  $\tau \in \mathcal{P}_F(\Sigma)$  such that every  $\varphi \in \mathcal{P}_F(I)$  is isomorphic to  $p^* \tau$  for some  $p : I \rightarrow \Sigma$ .

<sup>1</sup>i.e. we have  $v^* \exists_u \dashv \exists_p q^*$  and  $v^* \forall_u \dashv \forall_p q^*$  for all pullbacks

$$\begin{array}{ccc} L & \xrightarrow{q} & J \\ p \downarrow & & \downarrow u \\ K & \xrightarrow{v} & I \end{array}$$



## Tripases as Generalized Localic Toposes (3)

If  $F$  is just a tripos then the third condition has to be weakened as follows:

for every  $I \in \mathcal{S}$  there is a  $P(I)$  in  $\mathcal{S}$  and  $\varepsilon_I$  in  $\mathcal{P}_F(I \times P(I))$  such that for every  $\rho$  in  $\mathcal{P}_F(I \times J)$

$$(\text{Comp}) \quad \forall j \in J. \exists p \in P(I). \forall i \in I. \rho(i, j) \leftrightarrow i \varepsilon_I p$$

holds in the logic of  $\mathcal{P}_F$ .

This is the usual *comprehension principle* for HOL.

Its Skolemized (and thus stronger) version is equivalent to the existence of a generic subterminal  $\tau : T \multimap F\Sigma$  (where  $\Sigma$  is  $P(1)$ ).

But the logic of the tripos does not validate extensionality for predicates, i.e.  $p$  is not uniquely determined by  $j$ .

## Tripases as Generalized Localic Toposes (4)

For triposes  $F : \mathcal{S} \rightarrow \mathcal{E}$  the CO functor  $\mathcal{S} \rightarrow \mathcal{S}[\mathcal{P}_F]$  is equivalent to  $F$  and a tripos  $\mathcal{P}$  is equivalent to  $\mathcal{P}_F$  where  $F$  is the CO functor  $\mathcal{S} \rightarrow \mathcal{S}[\mathcal{P}]$  as shown in Pitts's Thesis.

Here  $\mathcal{S}[\mathcal{P}]$  is obtained from  $\mathcal{P}$  by “adding quotients” defining morphisms as functional relations.

The CO functor  $\mathcal{S} \rightarrow \mathcal{S}[\mathcal{P}]$  sends  $I$  to  $(I, eq_I)$  where  $eq_I = \exists_{\delta_I} \top_I$ .

# Uniqueness of Constant Objects Functors?

Are triposes  $F_1, F_2 : \mathcal{S} \rightarrow \mathcal{E}$  necessarily equivalent?

The answer is in general NO if  $\mathcal{S}$  is not equal to **Set** since for sober (e.g. Hausdorff spaces)  $X$  and  $Y$  there are as many localic geometric morphism  $\text{Sh}(Y) \rightarrow \text{Sh}(X)$  as there are continuous maps from  $Y$  to  $X$ .

For all natural numbers  $n > 0$  the functor

$$F_n : \mathbf{Set} \rightarrow \mathbf{Set} : I \mapsto I^n$$

is a tripos. But  $F_n$  and  $F_m$  are equivalent iff  $n = m$ .

Alas, the question is open for traditional triposes over **Set** since in the above counterexample only  $F_1$  is a traditional tripos.

## Question even open for localic and realizability toposes!

Already in [HJP80] where triposes were introduced it was asked whether localic toposes  $\text{Sh}(A)$  over **Set** may be induced by traditional triposes whose constant objects functor is not equivalent to  $\Delta : \mathbf{Set} \rightarrow \text{Sh}(A)$ .

Maybe we get such examples via classical realizability? Krivine's criterion (absence of "parallel or") for a realizability algebra only guarantees that the associated tripos is not localic but not that the induced topos is not localic...e.g. possibly **Set**.

Also realizability toposes  $\text{RT}(\mathcal{A})$  over **Set** could be induced by triposes whose constant objects functor is not equivalent to  $\nabla : \mathbf{Set} \rightarrow \text{RT}(\mathcal{A})$ .

# Non-Localic Grothendieck Toposes from Tripases over **Set**

If  $\mathcal{E}$  is the topos of *reflexive graphs*  $\mathbf{Set}^{\Delta_1^{\text{op}}}$  or the topos  $\mathbf{Set}^{\Delta^{\text{op}}}$  of *simplicial sets* then  $\nabla : \mathbf{Set} \rightarrow \mathcal{E}$  (right adjoint to  $\Gamma = \mathcal{E}(1, -)$ ) is a (strong) tripos which, however, is not traditional.

Every reflexive graph may be covered by a subobject of some  $\nabla(S)$ !

Possibly, this also holds for the topos of cubical sets  $\mathbf{Set}^{\square^{\text{op}}}$  (where  $\square$  is the full subcat of **Poset** on finite powers of the ordinal 2)?

# Neutral Models via Glueing

Together with P. Lietz I showed that the extensional realizability topos **Ext** doesn't validate Ishihara's  $\text{BD}_{\mathbb{N}}$ .

But **Ext** validates a negative form of Church's Thesis, namely

$$\forall f : \mathbb{N} \rightarrow \mathbb{N}. \neg \neg \exists e : \mathbb{N}. f = \{e\}$$

and thus is not conservative over **Set**.

But for every finite limit preserving functor  $F : \mathcal{S} \rightarrow \mathcal{E}$  between toposes the comma category  $\mathcal{E} \downarrow F$  is a topos and the functor  $P_F = \partial_1 = \text{cod} : \mathcal{E} \downarrow F \rightarrow \mathcal{S}$  is logical and has full and faithful left and right adjoints sending  $I \in \mathcal{S}$  to  $0 \rightarrow FI$  and  $\text{id}_{FI}$ , respectively.

For triposes  $F : \mathbf{Set} \rightarrow \mathcal{E}$  the comma category  $\mathcal{E} \downarrow F$  is a topos and  $P_F = \text{cod} : \mathcal{E} \downarrow F \rightarrow \mathbf{Set}$  is logical.

Thus  $\mathcal{E} \downarrow F$  only validates sentences which hold in **Set** and thus is a **neutral** model of constructive mathematics.

# Summary

- Ground models are typically not unique! (Since **Set** is induced by infinitely many non-equivalent triposes over **Set**).
- Question open for traditional triposes over **Set** even for localic and realizability toposes though there are canonical candidates  $\Delta$  and  $\nabla$ , respectively. But are these the only possibilities?
- Triposes  $F$  over **Set** via “Artin Glueing” give rise to **neutral** models  $\mathcal{E} \downarrow F$  since  $P_F = \text{cod} : \mathcal{E} \downarrow F \rightarrow \mathbf{Set}$  is logical.
- With a bit of luck  $\mathcal{E} \downarrow F$  preserves some of the weaknesses of  $\mathcal{E}$ , e.g. doesn't validate FAN,  $\text{BD}_{\mathbb{N}}$ , etc.

# Analogue of cHa's for traditional strong triposes

A. Miquel has introduced a notion of *implicative algebra* and shown that every such i.a.  $\mathcal{A}$  gives rise to a tripos  $\mathcal{P}^{\mathcal{A}}$  over **Set** and every traditional tripos over **Set** arises this way as  $\Delta_{\mathcal{A}} : \mathbf{Set} \rightarrow \mathbf{Set}[\mathcal{P}^{\mathcal{A}}]$ .

This generalizes to base toposes  $\mathcal{S}$  with nno: every traditional strong tripos  $F : \mathcal{S} \rightarrow \mathcal{E}$  is equivalent to  $\Delta_{\mathcal{A}} : \mathcal{S} \rightarrow \mathcal{S}[\mathcal{P}^{\mathcal{A}}]$  for some i.a.  $\mathcal{A}$  in  $\mathcal{S}$  where  $\Delta_{\mathcal{A}}(I) = (I, eq_I)$ .



# Implicative Structures

An *implicative structure* is a complete lattice  $\mathcal{A} = (A, \leq)$  together with an implication operation  $\rightarrow: \mathcal{A}^{\text{op}} \times \mathcal{A} \rightarrow \mathcal{A}$  such that  $y \rightarrow \bigwedge X = \bigwedge_{x \in X} (y \rightarrow x)$  for all  $y \in \mathcal{A}$  and  $X \subseteq \mathcal{A}$ .

Thus  $y \rightarrow (-)$  has a left adjoint  $(-)y$  given by

$$xy = \bigwedge \{z \mid x \leq y \rightarrow z\}$$

Then  $K_{\mathcal{A}} = \bigwedge_{x, y \in \mathcal{A}} x \rightarrow y \rightarrow x$  and

$S_{\mathcal{A}} = \bigwedge_{x, y, z \in \mathcal{A}} (x \rightarrow y \rightarrow z) \rightarrow (x \rightarrow y) \rightarrow x \rightarrow z$  are elements of  $\mathcal{A}$  for

which we have

$$K_{\mathcal{A}}xy \leq x \quad \text{and} \quad S_{\mathcal{A}}xyz = xz(yz)$$

# Implicative Algebras

A *separator* in an implicative structure  $(\mathcal{A}, \rightarrow)$  is an upward closed subset  $\mathcal{S}$  of  $\mathcal{A}$  such that  $K_{\mathcal{A}}, S_{\mathcal{A}} \in \mathcal{S}$  and  $\mathcal{S}$  is closed under *modus ponens*, i.e.  $b \in \mathcal{S}$  whenever  $a \in \mathcal{S}$  and  $a \rightarrow b \in \mathcal{S}$ .

An *implicative algebra* is a triple  $(\mathcal{A}, \rightarrow, \mathcal{S})$  such that  $(\mathcal{A}, \rightarrow)$  is an implicative structure and  $\mathcal{S}$  is a separator in  $(\mathcal{A}, \rightarrow)$ .

With every implicative algebra  $\mathcal{A}$  one associates a **Set**-based tripos  $\mathcal{P}^{\mathcal{A}}$  where  $\mathcal{P}^{\mathcal{A}}(I)$  is the preorder  $\vdash_I$  on  $\mathcal{A}^I$  defined as

$$\varphi \vdash_I \psi \quad \text{iff} \quad \bigwedge_{i \in I} (\varphi_i \rightarrow \psi_i) \in \mathcal{S}$$

and reindexing is given by precomposition.