

# Setoids to E-categories to saturated categories

or, how Erik taught me to stop worrying and love the setoids

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Memorial conference for Erik Palmgren

rec. an. thm  
HA, HA<sup>w</sup>, CTT  
th anal  
anal

$$|PS) = S \rightarrow U$$

AC, CTT+U

$$\bar{E}_0 < \bar{E}_1 < \dots < \bar{E}_n < \bar{E}_{n+1}$$



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In (some flavours of) constructive maths: take as **definition** of **setoid**.

# Setoids in type theory

(Work in type-theoretic setting. Ignore size/universe issues.)

## Definition

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Advantages: clear constructive content; minimal foundational commitment.

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Bureaucracy and pitfalls: “**setoid hell**”. Erik’s preferred view: **Bishop’s purgatorium** — a staging-point to Cantor’s paradise!

## Setoid hell, concretely

Need to always carry around slightly more complicated objects; prove functions respect equality, etc.

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Need some guiding framework!

## Setoids as a translation

Powerful organisational framework: setoids as *translation* from a more extensional type theory (with quotients) into a more intensional type theory.

**ETT**  $\longrightarrow$  **ITT**

(Developed by various authors; notably Maietti and Sambin's two-layer Minimalist Foundation.)

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Compare other foundational translations:

- ▶ Double-negation translation: classical to intuitionistic logic.
- ▶ Chu construction: linear HOL to IHOL (Shulman 2018).
- ▶ Program-extraction/realisability: various logics to programming languages.

# E-categories

Different kind of organisational framework: category theory.

## Definition

An **e-category**  $\mathbf{C}$ :

- ▶ type of objects  $\mathbf{C}_0$ ;
- ▶ setoids of morphisms  $\mathbf{C}_1(x, y)$ , for  $x, y : \mathbf{C}_0$ ;
- ▶ identities, composition maps  $\mathbf{C}_1(x, y) \times \mathbf{C}_1(y, z) \longrightarrow \mathbf{C}_1(x, z)$ ;
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Original motivation: organise setoid-based algebra, like classical categories organise set-based algebra.

# Families of setoids revisited

## Definition

$X$  a setoid. The **discrete e-category**  $D(X)$  on  $X$ :

- ▶ type of objects  $X$ ;
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Here and other ways: e-categories clearly useful. But: outside the image of the translation  $\mathbf{ETT} \longrightarrow \mathbf{ITT}$ , since objects a type not a setoid

Translation is **guiding** but not **limiting**. Again, compare other foundational translations.

# HoTT pre-categories

Recall: categories in HoTT/univalent foundations (Ahrens–Kapulkin–Shulman).

## Definition

A **pre-category**  $\mathbf{C}$ :

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(Work now in HoTT; *set* means *h-set*, etc.)

# Saturation

## Definition

A precat  $\mathbf{C}$  is **saturated** (aka **univalent**, aka a **category**) if for all  $x, y$ , the canonical map  $(x =_{\mathbf{C}_0} y) \rightarrow (x \cong_{\mathbf{C}} y)$  is an equivalence.

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Classically: no precategory with non-trivial automorphisms can be saturated.

In HoTT: most natural examples saturated (by univalence); most constructions preserve saturation.

When constructions break saturation: can take **Rezk-completion**  $\mathbf{C} \rightarrow RC(\mathbf{C})$ , adding the isos as equalities in the type of objects.

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Further variant, promoted by Voevodsky in UniMath: drop assumption that hom-types are sets.

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## Definition

An e-category  $\mathbf{C}$  is:

- ▶ **2-saturated** if equality of 2-cells is trivially true, i.e. each  $f \sim_{\mathbf{C}(x,y)} g$  is a mere proposition;
- ▶ **1-saturated** if equality of arrows is 2-cells, i.e. each  $\sim_{\mathbf{C}(x,y)}$  is actual propositional equality;
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Pattern: equality of each sort is “indiscernability w.r.t. higher sorts”.  
(Cf. Tsementzis et al, saturation in FOLDS-structures.)

AKS precategories:  $\geq 1$ -saturation. UniMath’s precategories:  
1-saturation. Saturated categories:  $\geq 0$ -saturation.

# Back to setoids

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## Setoids are like categories

Working with (un-saturated) setoids: analogous to working with (un-saturated) categories — standard (unavoidably) in traditional maths!

Analogy holds up surprisingly far. E.g. bureaucracy of setoid lemmas — compare ubiquitous tacit functoriality/naturality lemmas.

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Setoids are good as traditional categories! Not just a constructive hack; accept setoids as genuine part of mathematical practice.

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Is “setoid hell” really just “formalisation hell”?

